Dell EMC MozyEnterprise®: Maintaining Regulatory Compliance

The Mozy advantage

Simple

Seamlessly manage backup, sync, and mobile access for multi-user and server environments from a single web-based console.

Secure

Your data is safe with enterprise-grade encryption, world-class data centers, and Dell EMC.

Affordable

Keep costs low with no hardware to purchase and minimal overhead required.

Contact Mozy

mozycorporatesales@dell.com
www.mozy.com/enterprise

Protecting the interests of our customers

We respect the privacy rights of our customers and are committed to protecting your personal information. Our Information Security Management System incorporates a combination of technical, administrative, and physical controls to safeguard personal information consistent with industry standards and laws that are applicable to our customers and our company. The Mozy service protects against anticipated threats or hazards to the security or integrity of such information and its unauthorized access or use.

Information security requires an understanding of how people, processes, technology, and organizational structure all interact to create a complex mix of elements and issues. Therefore, our commitment is to protect the interests of our customers and business by operating a holistic program focused on the confidentiality, availability, and integrity of company and customer systems and data.

Our customers often want to know if they can remain compliant while using our backup solutions. When you back up information with the Mozy service, you remain in control of the data through the authentication schemes and encryption the system uses. Each file stored within the Mozy infrastructure is encrypted prior to transmission, during transmission, and while at rest in our data centers. That means that private and sensitive information remains private while we store it for you. We do not compromise the internal security controls our customers maintain to meet compliance with various regulations.

Mozy meets or complies with or is audited under the following standards or regulations.

SSAE 16 and ISO/IEC 27001

The Mozy service successfully completed its annual SSAE 16 Type 2 assessment, which resulted in a SOC 2 Type 2 report. In addition, the Mozy service completed a recertification of ISO/IEC 27001 version 2013. The number of online backup service providers that have completed both the SSAE 16 Type 2 asessment and ISO/IEC 27001 certification is limited. Simply taking the steps to obtain these verifications puts Mozy in exclusive company. These independent verifications certify that Mozy's processes and procedures meet or exceed the strictest control objectives in the industry.

SSAE 16 is a widely recognized auditing standard developed by the American Institute of Certified Public Accountants (AICPA). SSAE 16 verifies that a service organization has

been through an in-depth audit of its control objectives and control activities to ensure processing and hosting customer data is done safely and securely.

ISO 27001 certification is the leading international standard for measuring information security management systems. It sets out requirements and best practices for a systematic approach to managing company and customer information based on periodic risk assessments appropriate to everchanging threat scenarios.

By voluntarily submitting to an SSAE 16 Type 2 asessment and ISO/IEC 27001 certification, Mozy demonstrates its commitment to its customers' information and its preparation to face current and ongoing threats to digital information. Mozy's SSAE 16 assessment and ISO/IEC 27001 certification were performed by Schellman & Company, LLC, an ANAB accredited Certification Body based in the United States.

Health Insurance Portability and Accountability Act

In accordance with the Health Insurance Portability and Accountability Act (HIPAA), any company that stores protected health information must ensure that all of the required physical, network, and process security measures are in place and followed. The Mozy service successfully completed an independent HIPAA-HITECH SSAE 16 Type 1 audit, which resulted in a SSAE 16 Type 1 report.

As a provider of HIPAA-compliant backup services that safeguard protected health information, the Mozy service includes the appropriate safeguards so that the health information you work with and store remains confidential and secure. As required by HIPAA, Mozy sends, stores, and encrypts all data from a HIPAA-compliant account to its U.S. data centers only. Mozy's HIPAA Security settings ensure that the requirements in the HIPAA Security Rule—including those for encryption, password restrictions, and data storage— are in place. Note: There is no standard HIPAA certificate of compliance for backup software and services. For more information about HIPAA and compliance, contact your legal counsel or refer to the HIPAA section of tthe U.S. Department of Health and Human Services' website.

Data center location

Mozy by Dell has multiple data centers located internationally so that your data can be stored locally within economic communities. For example, data can be retained within the United States or within the European Union. This ensures that it's possible to comply with local data-handling laws and principles.

The long and short of it

The goal at Mozy is to provide a service that helps you protect your information. In order to do that, we operate our business on the following principles:

- The files you store using our service are yours, not ours.
- We only share your personal information as described in our online Privacy Policy.
- We never sift through your information in order to create a profile of you or target advertising.
- You can always get your files back.
- We have no rights to your files in you terminate the service.
- We use strict security policies, strong industry-standard encryption, and world-class data centers to protect your information.

For a detailed look at our privacy practices, review our full Privacy Policy at http://mozy.com/about/legal/privacy.